

1 **Title**

2 Longitudinal Fecal Calprotectin Profiles Characterize Disease Course Heterogeneity in Crohn's
3 Disease

4 **Short Title**

5 Disease Course Heterogeneity & Longitudinal FCAL Profiles

6 **Authors**

7 Nathan Constantine-Cooke ^{1,2}, Karla Monterrubio-Gómez ¹, Nikolas Plevris ^{2,3}, Lauranne A.A.P
8 Derikx ⁴, Beatriz Gros ³, Gareth-Rhys Jones ^{3,5}, Riccardo E. Marioni ², Charlie W. Lees^{† 2,3}, and
9 Catalina A. Vallejos^{† 1,6}

10 [†] Shared senior authorship

11 ^{1.} MRC Human Genetics Unit, Institute of Genetics and Cancer, University of Edinburgh,
12 Edinburgh, UK

13 ^{2.} Centre for Genomic and Experimental Medicine, Institute of Genetics and Cancer,
14 University of Edinburgh, Edinburgh, UK

15 ^{3.} Edinburgh IBD Unit, Western General Hospital, Edinburgh, UK

16 ^{4.} Inflammatory Bowel Disease Center, Radboud University Medical Center, Nijmegen, The
17 Netherlands

18 ^{5.} Centre for Inflammation Research, The Queen's Medical Research Institute, University of
19 Edinburgh, Edinburgh, UK

20 ^{6.} The Alan Turing Institute, British Library, London, UK

21 **Grant Support**

22 This work was supported by the Medical Research Council & University of Edinburgh Precision
23 Medicine PhD studentship (MR/N013166/1, to **NC-C**) and the UKRI Future Leaders Fellowship
24 (MR/S034919/1, to **CWL**). **CAV** was funded by a Chancellor's Fellowship provided by the
25 University of Edinburgh. **KM-G** was supported by an MRC University Unit grant to the MRC
26 Human Genetics Unit. **GRJ** is supported by a Wellcome Trust Clinical Research Career
27 Development Fellowship.

28 **Abbreviations**

29 5-ASAs: Aminosalicylates

30 AIC: Akaike information criterion

31 BIC: Bayesian information criterion

32 CD: Crohn's disease

33 FCAL: Fecal calprotectin

34 IQR: Interquartile range

35 LCMM: Latent class mixed model

36 **Correspondence**

37 Nathan Constantine-Cooke,

38 MRC Human Genetics Unit, Institute of Genetics and Cancer, The University of Edinburgh,
39 Western General Hospital, Crewe Road, Edinburgh, EH4 2XU

40 nathan.constantine-cooke@ed.ac.uk

41 +447503218115

42 **Disclosures**

43 **NC-C**: none declared; **KM-G**: none declared; **NP** has received consultancy fees from Takeda,
44 speaker fees and/or travel support from Abbvie, Takeda, Norgine; **LAAPD** has received
45 consultancy fees from Sandoz, speaking fees from Janssen; **BG** has received consultancy fees
46 from Abbvie; **GRJ** has received speaker fees from Abbvie, Takeda, Pfizer, Ferring and Janssen;
47 **REM**: none declared; **CWL** has received research support from Abbvie and Gilead, consultancy
48 fees from Abbvie, Pfizer, Janssen, Gilead, Celltrion, Pharmacosmos, Takeda, Vifor, Iterative
49 Scopes, Trellus Health, Galapagos, Vifor Pharma, Bristol Meyers Squibb, Boehringer Ingelheim,
50 Sandoz, Novartis, Fresenius, and Kabi Tillotts; speaker fees and/or travel support from Janssen,
51 Abbvie, Pfizer, Dr Falk, Ferring, Hospira, GSK, and Takeda; **CAV**: none declared.

52 **Preprint Server**

53 A preprint of this work is hosted on medRxiv; doi: 10.1101/2022.08.16.22278320.

54 **Writing Assistance**

55 None received.

56 **Authorship**

57 **NC-C**, **KM-G**, **NP**, **REM**, **CWL**, and **CAV** contributed to the conception and study design for
58 the manuscript. **NC-C**, **NP**, **LAAPD**, **BG**, and **CWL** collected the data for this study. All authors
59 except **REM** had access to the study data. **NC-C** performed all statistical analysis. **NC-C**, **BG**,
60 and **KM-G** drafted the manuscript. All authors were involved with critical revision of the
61 manuscript, and all authors reviewed and approved the final manuscript prior to submission.

62 **Data Transparency Statement**

63 The analytical reports generated for this study and corresponding code are hosted online
64 (<https://vallejosgroup.github.io/lcmm-site/>).

65 The data used in this study is not publicly available, as it originates from patients who have not
66 given consent for the data to be publicly shared. For access to the data, please contact **CWL**.

67 **Abstract**

68 **Background and Aims**

69 The progressive nature of Crohn's disease is highly variable and hard to predict. In addition,
70 symptoms correlate poorly with mucosal inflammation. There is therefore an urgent need to
71 better characterize the heterogeneity of disease trajectories in Crohn's disease by utilizing
72 objective markers of inflammation. We aimed to better understand this heterogeneity by
73 clustering Crohn's disease patients with similar longitudinal fecal calprotectin profiles.

74 **Methods**

75 We performed a retrospective cohort study at the Edinburgh IBD Unit, a tertiary referral center,
76 and used latent class mixed models to cluster Crohn's disease subjects using fecal calprotectin
77 observed within five years of diagnosis. Information criteria, alluvial plots, and cluster
78 trajectories were used to decide the optimal number of clusters. Chi-squared, Fisher's exact test,
79 and ANOVA were used to test for associations with variables commonly assessed at diagnosis.

80 **Results**

81 Our study cohort comprised of 365 patients with newly diagnosed Crohn's disease and 2856 fecal
82 calprotectin measurements taken within five years of diagnosis (median 7 per subject). Four
83 distinct clusters were identified by characteristic calprotectin profiles: a cluster with consistently
84 high fecal calprotectin and three clusters characterized by different downward longitudinal
85 trends. Cluster membership was significantly associated with smoking ($p = 0.015$), upper
86 gastrointestinal involvement ($p < 0.001$), and early biologic therapy ($p < 0.001$).

87 **Conclusions**

88 Our analysis demonstrates a novel approach to characterizing the heterogeneity of Crohn's
89 disease by using fecal calprotectin. The group profiles do not simply reflect different treatment
90 regimens and do not mirror classical disease progression endpoints.

91 **Keywords**

92 Biomarker; Epidemiology; Monitoring.

93 1 Introduction

94 Crohn's disease (CD) affects around 1 in 350 people in the UK^{1,2} with substantial variation in
95 phenotypes and disease outcomes. Historically, 30% follow a quiescent disease course³, whilst
96 many will require surgery due to strictures, fistulas, or lack of response to medical therapy.
97 Despite this heterogeneity, our ability to characterize disease variability remains poor and, in the
98 case of Montreal location and behavior, involves invasive examinations which limits the
99 suitability of frequent longitudinal measurements.

100 Endoscopy remains the gold standard for monitoring IBD, however it is costly, invasive and not
101 without risk. As such, non-invasive stool markers like fecal calprotectin (FCAL) are increasingly
102 used to objectively monitor inflammation in IBD^{4,5}. FCAL is one of the most well characterized
103 non-invasive biomarkers in IBD⁶. Multiple studies have shown that it accurately correlates with
104 mucosal inflammation, in both UC and CD. Furthermore, the CALM study⁷ demonstrated that a
105 treat to target approach based on FCAL results in superior outcomes when compared to a
106 treatment strategy based on symptoms alone. It is therefore sensible to consider using FCAL to
107 characterize heterogeneity found in intestinal inflammation. By incorporating all FCAL data,
108 instead of only FCAL measurements which can be dichotomized into specific time points, FCAL
109 can be modelled as a continuous longitudinal process. Whilst FCAL has previously been
110 modelled in this way, no published research has attempted to cluster CD patients by longitudinal
111 FCAL profiles: instead capturing heterogeneity across patients through *a priori* selected
112 covariates (such subjects in endoscopic or clinical remission and those who have relapsed)^{8,9}.

113 Disease heterogeneity in CD has previously been described longitudinally by the IBSEN study³.
114 In the IBSEN study, subjects with CD chose which profile they believed best described their

115 disease activity out of four profiles specified *a priori*. We aimed to perform a modernized
116 iteration of this work by instead using FCAL profiles to characterize patient heterogeneity. We
117 hypothesize that an unsupervised analysis to uncover latent patient subgroups with distinct
118 longitudinal FCAL patterns can lead to better disease characterization.

119 **2 Materials and Methods**

120 **2.1 Study Design**

121 We performed a retrospective cohort study at the Edinburgh IBD Unit to determine if there were
122 subgroups within the CD patient population identifiable from FCAL measurements which had
123 been collected within five years of diagnosis. We modelled longitudinal FCAL profiles using
124 latent class mixed models (LCMMs)¹⁰, an extension of linear mixed effects models, which
125 enables the identification of distinct subgroups with shared longitudinal patterns. LCMMs have
126 been used to model biomarker trajectories in many contexts ^{11,12}).

127 The data were obtained from a cohort study by Plevris et al. which identified all incident CD
128 cases between 2005 and 2017 at The Edinburgh IBD unit which fulfilled set inclusion criteria¹³.
129 For all patients, electronic health records (TrakCare; InterSystems, Cambridge, MA) were used to
130 extract demographic as well as outcomes and FCAL values (both up to June 2019). Data for drug
131 treatments and disease location were also extracted.

132 2.2 Criteria & Definitions

133 First, the inclusion criteria from Plevris et al. were applied: (1) CD diagnosis between 2005 and
134 2017; (2) an initial FCAL measurement at diagnosis (or within 2 months) and prior to treatment;
135 (3) initial diagnostic FCAL result $\geq 250\mu\text{g/g}$; (4) an accurate date of diagnosis; (5) at least one
136 additional FCAL measurement within 12 months of diagnosis; (6) at least 12 months of
137 followup; (7) neither having surgery nor a Montreal disease progression/new perianal disease
138 within 12 months of diagnosis. Second, the following additional criterion was applied in this
139 study: (8) at least 3 FCAL measurements within 5 years of diagnosis.

140 The following information was available at diagnosis: sex, age, smoking status, FCAL, and
141 Montreal location and behavior. Treatments prescribed within one year of diagnosis were also
142 recorded: 5-ASAs (aminosalicylates), corticosteroids, thiopurines, methotrexate, exclusive
143 enteral nutrition, and biologic therapies.

144 2.3 FCAL Assay

145 The Edinburgh IBD Unit has been using FCAL for diagnostic and monitoring purposes since
146 2005. Stool samples have been routinely collected at all healthcare interactions¹³. Samples are
147 stored at -20°C and FCAL is measured using a standard enzyme linked immunosorbent assay
148 technique (Calpro AS, Lysaker, Norway). All FCAL measurements in this study were performed
149 using the same protocol and assay.

150 2.4 Statistical Analysis

151 Descriptive statistics are presented as median and interquartile range (IQR) for continuous
152 variables. Frequencies with percentages are provided for categorical variables.

153 FCAL measurements greater than $2500\mu\text{g/g}$ were set to $2500\mu\text{g/g}$, the upper range for the assay.
154 Likewise, measurements reported as less than the lower range for the assay, $20\mu\text{g/g}$, were set to
155 $20\mu\text{g/g}$. FCAL values were log-transformed before the models were fitted. To model the FCAL
156 trajectories and find clusters, we used LCMMs with longitudinal patterns captured using natural
157 cubic splines¹⁰. Natural cubic splines provide a flexible framework to model FCAL trajectories
158 whilst remaining stable at either end of the study followup period¹⁴. Using natural cubic splines
159 results in fewer parameters needing to be estimated compared to polynomial regression which
160 requires a high-degree polynomial to achieve the same level of flexibility¹⁵. Between two and
161 five knots were considered for the splines and their performance was compared using Akaike
162 information criterion (AIC). Three knots were found to produce the optimal AIC within this
163 range which were placed at the quartiles of the FCAL measurement times. A full model
164 description is provided as an [Appendix](#).

165 LCMMs assuming two to six clusters were fitted. For each number of clusters, the optimal model
166 was found via a grid search approach (50 runs with 10 maximum iterations) following the
167 vignette provided as part of the `lcmm` R package. Models converged based on parameter and
168 likelihood stability, and on the negativity of the second derivatives. After each optimal model
169 was found, the log-likelihood, AIC, and Bayesian information criterion (BIC) were calculated.
170 An alluvial plot was produced to provide intuition of how additional clusters are formed as the
171 number of assumed clusters increases. These findings were used to decide on the appropriate
172 number of clusters in our study population. As suggested in the `lcmm` package vignette,
173 goodness-of-fit for the selected model was assessed by exploring whether model residuals were
174 normally distributed. Uncertainty in cluster assignments was quantified using posterior
175 classification probabilities. To visualize overall trajectories within

176 each cluster, point estimates for each of the model parameters were used, and statistical
177 uncertainty was visualized using 95% confidence intervals.

178 Marginal associations between cluster membership and information available at the time of
179 diagnosis were explored. Chi-square tests and Fisher's exact tests, dependent on suitability, were
180 used for categorical variables. ANOVA was used for continuous variables. Upper gastrointestinal
181 inflammation (L4) and perianal disease (P) were tested separately to Montreal location (L1-L3)
182 and Montreal behavior (B1-B3) respectively.

183 Potential evidence of treatment effects was garnered by testing for associations between cluster
184 membership and whether each treatment was prescribed within one year of diagnosis using
185 Fisher's exact test. Biologic prescriptions within three months of diagnosis were also considered
186 to study potential earlier treatment effects.

187 A 5% significance level was used for all statistical tests. Bonferroni adjustments have also been
188 used to provide adjusted p-values (p_{adj}).

189 As an exploratory analysis, a multinomial logistic regression model¹⁸ and a random forest
190 classifier¹⁹ were used to predict cluster allocations using information available at the time of
191 diagnosis and biologic prescriptions. For this purpose, a 75:25 train:test split with 4-fold cross
192 validation was used²⁰. Classification performance was assessed via area under the curve (AUC)
193 extended to multiple classes²¹.

194 R²² (v.4.2.1) was used for all statistical analyses using the lcmm²³ (v.1.9.5), nnet²⁴ (v.7.3-17),
195 ranger²⁵ (v.0.13.1), datefixR²⁶ (v.0.1.4), tidyverse²⁷ (v.1.3.1), tidymodels²⁸ (v.0.2.0), vip²⁹ (v0.3.2)

196 and ggalluvial³⁰ (v.0.12.3) R libraries. The analytical reports generated for this study and
197 corresponding source code are hosted online*.

198 **2.5 Ethics**

199 As this study was considered a retrospective audit due to all data having been collected as part of
200 routine clinical care, no ethical approval or consent was required as per UK Health Research
201 Authority guidance. Caldicott guardian approval (NHS Lothian) was granted (Project ID: 18002).

202 **3 Results**

203 **3.1 FCAL Measurements**

204 The study by Plevris et al.¹³ found 1390 incident CD cases. After removing individuals without
205 an accurate diagnostic date or diagnostic FCAL (+/- 60 days of diagnosis), 50 patients had
206 diagnostic FCAL < 250µg/g. Once the additional inclusion criterion of at least three FCAL
207 measurements was also applied, 356 subjects met the inclusion criteria for this study (Figure 1,
208 Table 1). Across these patients, 2856 FCAL measurements were recorded within five years of
209 diagnosis. The median frequency of FCAL measurements for a subject within this period was 7
210 (IQR 5-10). The distributions of all FCAL measurements and the number of measurements per
211 subject are presented as supplemental material (Figure S1, Figure S2).

212 **3.2 Modelling FCAL Trajectories**

213 LCMMs fitted with two to six assumed clusters all converged as per default convergence criteria.
214 As seen in Figure 2, cluster assignments were largely stable across differing assumed clusters,

* <https://vallejosgroup.github.io/lcmm-site/>

215 particularly when comparing the 3-cluster, 4-cluster, and 5-cluster models. Performance metrics
216 for each model considered are provided in [Table S1](#). BIC suggested the 2-cluster model was most
217 appropriate, but this model was discarded as visual inspection of the inferred trajectories
218 suggested a larger number of distinct clusters ([Figure S3-S4](#)). AIC favored the 5-cluster model.
219 However, this model was found to overfit the data as some of the inferred trajectories were
220 similar ([Figure S5](#)). As a parsimonious choice, we selected the 4-cluster model.

221 The distribution of the model residuals for the 4-cluster model is bell-shaped, but the quantile-
222 quantile plot suggests some deviations from normality in the tails of the distribution within and
223 across clusters ([Figure S6-S7](#)). [Figure 3](#) presents the log mean profiles for the 4-cluster model
224 alongside subject-specific observed FCAL trajectories. The model identified three main groups
225 of patients: clusters 1, 2 and 3 (92, 191, and 58 subjects, respectively) and a small cluster 4 with
226 15 subjects. Clusters 1 and 3 display similar profiles — both showing a sharp decrease in FCAL
227 which then remains low. However, cluster 1 is differentiated by the decrease occurring
228 immediately after diagnosis, whilst this decrease does not occur until around a year after
229 diagnosis for cluster 3. In contrast, cluster 2 is characterized by a mean profile which remains
230 consistently high: never dropping below the $250\mu\text{g/g}$ clinical threshold for disease activity.
231 Finally, the mean profile for cluster 4 exhibits an initial decrease, but this is not sustained during
232 the first 3 years.

233 **3.3 Association with Variables Available at Diagnosis**

234 Out of the eight variables typically available at diagnosis we tested for association with class
235 membership, two variables were found to be significant at the 5% significance level before
236 applying a Bonferroni adjustment: smoking status ($p = 0.01$; $p_{\text{adj}} = 0.08$) and the presence of

237 upper gastrointestinal inflammation ($p < 0.001$; $p_{\text{adj}} = 0.002$). 24% and 23% of cluster 1 and
238 cluster 2 respectively were smokers when they were diagnosed, whereas only 7% of cluster 3 and
239 cluster 4 smoked during this period. Only 9% of cluster 1 had upper gastrointestinal involvement
240 at diagnosis in comparison to the 27%, 34%, and 33% in cluster 2, cluster 3, and cluster 4
241 respectively.

242 3.4 Association with Treatments

243 A difference in the percentage of subjects prescribed a biologic therapy within one year of
244 diagnosis was observed across classes (Table 1): 46% of class 1 were prescribed one of these
245 treatments, compared to 18% and 21% for class 2 and class 3 respectively.

246 Out of the prescriptions considered, being prescribed a thiopurine within one year of diagnosis (p
247 $= 0.023$; $p_{\text{adj}} = 0.16$) and being prescribed a biologic either within three months ($p < 0.001$; $p_{\text{adj}} =$
248 0.004) or one year of diagnosis ($p < 0.001$; $p_{\text{adj}} < 0.001$) were found to be significant before
249 Bonferroni adjustment. However, class membership could not be predicted from demographic
250 data and biologic prescriptions (AUC of 0.68 for the multinomial logistic regression model and
251 0.66 for the random forest classifier).

252 4 Discussion

253 In this study, four patient clusters in the CD population with distinct FCAL trajectories have been
254 identified and described (Figure 3). To the best of our knowledge, we are the first to apply
255 LCMMs to characterize latent patient heterogeneity using FCAL data, although others have
256 applied linear mixed models to FCAL data^{8,9} or have applied LCMMs in other disease
257 contexts^{31,32}.

258 We have demonstrated cluster membership is associated with smoking and upper gastrointestinal
259 inflammation. A comparatively high number of subjects who smoked at diagnosis were found in
260 both cluster 1 and cluster 2 despite cluster 1 being characterized by an overall decrease in FCAL
261 and cluster 2 being characterized by a consistently high profile. The interpretation of this finding
262 is not clear from our data. Previous research has found smoking to be associated with low drug
263 concentrations for infliximab and adalimumab, mediating low remission rates in CD patients³³ in
264 addition to being associated with undergoing surgery and disease progression³⁴. Upper
265 gastrointestinal involvement is likely a proxy for a more severe CD sub-phenotype. We also
266 observed cluster membership to be associated with early biologic treatment. This is reasonable
267 given the often-reported association between FCAL and endoscopic activity and an association
268 between biologic treatments and endoscopic healing for CD patients^{35, 36}.

269 Whilst examining the residuals for the 4-cluster model, we found evidence against normality in
270 the tails of the distribution, where some outliers can be observed. In most cases, this is driven by
271 FCAL observations being truncated to be within the limits of detection of the assay (20 $\mu\text{g/g}$ to
272 2500 $\mu\text{g/g}$). As subjects were required to have a FCAL above 250 $\mu\text{g/g}$ at diagnosis to be
273 included in the study, only the upper truncation applies for diagnostic FCAL. We believe the
274 impact of any violation of the assumption of normally distributed residuals on our findings is
275 minimal. If this assumption is violated, then an inappropriate number of classes can be found
276 when solely relying on model selection metrics such as AIC and BIC¹⁶. Instead, we also
277 considered visual inspection (alluvial plots, mean cluster profiles versus subject-specific
278 trajectories) in addition to AIC and BIC as a more rigorous approach to determining the number
279 of latent classes, avoiding the identification of spurious clusters.

280 The approach demonstrated here has notable advantages over the methodology used by the
281 IBSEN study which required participants to choose which diagram they believed best described
282 their disease activity out of four possible options³. Using FCAL profiles allows the quantification
283 of inflammation in an objective manner rather than using patient reported symptom activity
284 which may be influenced by recency bias and the tendency for patient-reported data to exhibit
285 extreme responses³⁷. Furthermore, using FCAL allows longitudinal profiles to be generated in a
286 data-driven manner. Instead of profiles needing to be generated based on prior beliefs and
287 opinion, we can allow these profiles to be formed naturally. Finally, FCAL profiles can be
288 readily generated for many CD patients from electronic healthcare records without requiring
289 active involvement from patients.

290 Some similarities can be observed between the clinically derived profiles in the IBSEN cohort
291 patterns and the cluster-specific mean profiles uncovered in this study. Both studies identified a
292 large group of patients that exhibit a decline in severity of symptoms (cluster 1 and cluster 3 in
293 our study) and a group with chronic continuous symptoms (cluster 2 in our study). However, the
294 IBSEN study identified a group with increasing intensity of symptoms which was not found by
295 our analysis. Such differences may be due to the disconnect between symptoms and
296 inflammation which is commonly seen when using endoscopic activity scores³⁸. Moreover, the
297 IBSEN study findings were gathered before the widespread emergence of biologic therapies for
298 CD and may not represent more modern trends which may not be well known a priori:
299 demonstrating the advantage of being able to infer subgroup profiles in a data-driven manner.

300 In this study, eight potential associations with variables typically available at diagnosis, and
301 seven potential associations with treatments have been explored. As such, we potentially invite
302 criticism due to multiple testing. Indeed, some associations reported here (e.g., between cluster

303 membership and smoking) fail to be significant after applying Bonferroni corrections. However,
304 we believe our findings here are biologically plausible and in line with other published literature.

305 The retrospective design of this study remains a limitation, and the results reported may be due to
306 observational biases and should not be assigned a causal interpretation. Quantifying causal
307 treatment effects from such observational data is an active area of research and beyond the scope
308 of this study^{39,40}. The data gathering process is observational and whilst FCAL is collected
309 routinely at all clinical interactions, subjects with more complicated disease are likely to have
310 more measurements available. The retrospective study design also means all subjects did not
311 have the same treatment options at the same stage in their disease trajectories, as subjects may
312 have been diagnosed at any time between 2005 and 2017. However, the date of diagnosis,
313 converted to the number of days the subject was diagnosed after 01/01/2001, was considered for
314 potential association with cluster membership, and no significant association was found ($p =$
315 0.12). We also acknowledge the potential for inclusion bias in this study. The study by Plevris et
316 al. required subjects to have an FCAL of at least $250\mu\text{g/g}$ at diagnosis and excluded subjects
317 which met one of the endpoints within a year of diagnosis. The former potentially excludes
318 subjects with milder disease, whilst the latter potentially excludes subjects with more aggressive
319 disease. Extreme trajectories may therefore have been underrepresented in our analysis. Whilst
320 we are confident in the classes described here, additional classes may be found if the inclusion
321 criteria were relaxed.

322 The clusters reported here are intended purely for exploring heterogeneity in CD and are not
323 intended for use as predictors in a risk score. Indeed, some FCAL measurements were taken after
324 typical outcomes of interest (e.g., surgery), hence cluster membership information is not a
325 suitable risk factor. However, our approach provides an objective way to characterize disease

326 trajectory heterogeneity using a routinely collected inflammation marker, providing a proof of
327 concept for novel longitudinal patient stratification in the context CD. It should also be noted that
328 only a single cohort was examined in this study and generalizing these results to other
329 populations requires caution.

330

331 **5 Conclusion**

332 We have demonstrated the suitability and utility of latent class mixed modelling for identifying
333 clusters within the CD population based on FCAL profiles. After we found and described four
334 clusters, we reported cluster membership to be significantly associated with smoking and upper
335 gastrointestinal involvement. We believe our findings are an important first step towards
336 embracing longitudinal FCAL measurements to explain disease heterogeneity in CD.

337 **6** Figure Legends

338 **Figure 1:** Flowchart demonstrating data processing steps. FCAL: fecal calprotectin.

339 **Figure 2:** Alluvial plot demonstrating how cluster membership obtained from the fecal
340 calprotectin profiles of Crohn's disease patients changes as the assumed number of clusters
341 increases. The height of each band indicates the size of each cluster.

342 **Figure 3:** Log-transformed subject-specific five-year fecal calprotectin profiles for the study
343 cohort for **A**, cluster 1; **B**, cluster 2; **C**, cluster 3; **D**, cluster 4. The red solid line represents the
344 predicted mean trajectory for each cluster, whilst the red dotted lines represent 95% confidence
345 intervals. The grey lines indicate the trajectory of each subject. The blue dotted line indicates an
346 FCAL of $\log(250 \mu\text{g/g})$: the common threshold for biochemical remission in Crohn's disease.
347 See [Figure S8](#) for the fits in the original measurement scale.

348 **7** **References**

- 349 [1] Jones GR, Lyons M, Plevris N, et al. IBD prevalence in Lothian, Scotland, derived by
350 capture–recapture methodology. *Gut* 2019; 68(11): 1953–1960. doi: [10.1136/gutjnl-2019-](https://doi.org/10.1136/gutjnl-2019-318936)
351 [318936](https://doi.org/10.1136/gutjnl-2019-318936)
- 352 [2] Hamilton B, Green H, Heerasing N, et al. Incidence and prevalence of inflammatory bowel
353 disease in Devon, UK. *Frontline Gastroenterol.* 2021; 12(6): 461–470. doi:
354 [10.1136/flgastro-2019-101369](https://doi.org/10.1136/flgastro-2019-101369)
- 355 [3] Henriksen M, Jahnsen J, Lygren I, et al. Clinical course in Crohn’s disease: Results of a five-
356 year population-based follow-up study (the IBSEN study). *Scand J Gastroenterol* 2007;
357 42(5): 602-610. PMID: 17454881 doi: [10.1080/00365520601076124](https://doi.org/10.1080/00365520601076124)
- 358 [4] D’Amico F, Nancey S, Danese S, Peyrin-Biroulet L. A practical guide for faecal calprotectin
359 measurement: myths and realities. *J Crohns Colitis* 2020; 15(1): 152–161. doi:
360 [10.1093/ecco-jcc/jjaa093](https://doi.org/10.1093/ecco-jcc/jjaa093)
- 361 [5] Kennedy NA, Jones GR, Plevris N, Patenden R, Arnott ID, Lees CW. Association between
362 level of fecal calprotectin and progression of Crohn’s disease. *Clin Gastroenterol Hepatol*
363 2019; 17(11): 2269-2276.e4. doi: [10.1016/j.cgh.2019.02.017](https://doi.org/10.1016/j.cgh.2019.02.017)
- 364 [6] Plevris N, Lees CW. Disease monitoring in inflammatory bowel disease: Evolving principles
365 and possibilities. *Gastroenterology* 2022; 162(5): 1456-1475.e1. doi:
366 [10.1053/j.gastro.2022.01.024](https://doi.org/10.1053/j.gastro.2022.01.024)
- 367 [7] Colombel JF, Panaccione R, Bossuyt P, et al. Effect of tight control management on Crohn’s
368 disease (CALM): a multicentre, randomised, controlled phase 3 trial. *The Lancet.*
369 2017;390(10114):2779-2789. doi:[10.1016/S0140-6736\(17\)32641-7](https://doi.org/10.1016/S0140-6736(17)32641-7)

- 370
- 371 [8] De Vos M, Dewit O, D'Haens G, et al. Fast and sharp decrease in calprotectin predicts
372 remission by infliximab in anti-TNF naïve patients with ulcerative colitis. *J Crohns Colitis*
373 2012; 6(5): 557–562. doi: [10.1016/j.crohns.2011.11.002](https://doi.org/10.1016/j.crohns.2011.11.002)
- 374 [9] Zhulina Y, Cao Y, Amcoff K, Carlson M, Tysk C, Halfvarson J. The prognostic significance
375 of faecal calprotectin in patients with inactive inflammatory bowel disease. *Aliment*
376 *Pharmacol Ther* 2016; 44(5): 495–504. doi: [10.1111/apt.13731](https://doi.org/10.1111/apt.13731)
- 377 [10] Proust-Lima C, Philipps V, Liqueur B. Estimation of extended mixed models using latent
378 classes and latent processes: The R package lcmm. *J Stat Softw* 2017; 78(2): 1–56. doi:
379 [10.18637/jss.v078.i02](https://doi.org/10.18637/jss.v078.i02)
- 380 [11] Courvoisier D, Alpizar-Rodriguez D, Gottenberg J, et al. Rheumatoid arthritis patients after
381 initiation of a new biologic agent: trajectories of disease activity in a large multinational
382 cohort study. *EBioMedicine* 2016; 11: 302–306. doi: [10.1016/j.ebiom.2016.08.024](https://doi.org/10.1016/j.ebiom.2016.08.024)
- 383 [12] Jiang G, Luk AOY, Tam CHT, et al. Progression of diabetic kidney disease and trajectory of
384 kidney function decline in Chinese patients with Type 2 diabetes. *Kidney Int* 2019; 95(1):
385 178–187. doi: [10.1016/j.kint.2018.08.026](https://doi.org/10.1016/j.kint.2018.08.026)
- 386 [13] Plevris N, Fulforth J, Lyons M, et al. Normalization of fecal calprotectin within 12 months of
387 diagnosis is associated with reduced risk of disease progression in patients with Crohn's
388 disease. *Clin Gastroenterol Hepatol* 2021; 19(9): 1835–1844.e6. doi:
389 [10.1016/j.cgh.2020.08.022](https://doi.org/10.1016/j.cgh.2020.08.022)
- 390 [14] Elhakeem A, Hughes RA, Tilling K, et al. Using linear and natural cubic splines, SITAR,
391 and latent trajectory models to characterise nonlinear longitudinal growth trajectories in
392 cohort studies. *BMC Med Res Methodol* 2022; 22(1): 68. doi: [10.1186/s12874-022-01542-8](https://doi.org/10.1186/s12874-022-01542-8)

- 393 [15] James G, Witten D, Hastie T, Tibshirani R. *An Introduction to Statistical Learning* ch. 7:
394 297-317; Springer Texts in Statistics. Springer US. 2nd ed. 2021
- 395 [16] Bauer DJ, Curran PJ. Distributional assumptions of growth mixture models: Implications for
396 overextraction of latent trajectory classes. *Psychological Methods* 2003; 8(3): 338–363. doi:
397 [10.1037/1082-989X.8.3.338](https://doi.org/10.1037/1082-989X.8.3.338)
- 398 [17] Bauer DJ. Observations on the use of growth mixture models in psychological research.
399 *Multivariate Behavioral Research* 2007; 42(4): 757–786. doi: [10.1080/00273170701710338](https://doi.org/10.1080/00273170701710338)
- 400 [18] Kwak C, Clayton-Matthews A. Multinomial logistic regression. *Nurs Res* 2002; 51(6).
- 401 [19] Breiman L. Random forests. *Mach Learn* 2001; 45(1): 5–32. doi: [10.1023/A:1010933404324](https://doi.org/10.1023/A:1010933404324)
- 402 [20] Hastie T, Tibshirani R, Friedman J. *The Elements of Statistical Learning*: 241-247; Springer
403 Series in Statistics. Springer New York. 2nd ed. 2009
- 404 [21] Hand DJ, Till RJ. A Simple Generalisation of the area under the ROC curve for multiple
405 class classification problems. *Mach Learn* 2001; 45(2): 171–186. doi:
406 [10.1023/a:1010920819831](https://doi.org/10.1023/a:1010920819831)
- 407 [22] R Core Team. *R: A Language and Environment for Statistical Computing*. R Foundation for
408 Statistical Computing; Vienna, Austria: 2022.
- 409 [23] Proust-Lima C, Philipps V, Diakite A, Lique B. lcmm: extended mixed models using latent
410 classes and latent processes. <https://cran.r-project.org/package=lcmm>; 2021. R package
411 version: 1.9.3.
- 412 [24] Venables WN, Ripley BD. *Modern applied statistics with S*. New York: Springer. fourth ed.
413 2002. ISBN 0-38795457-0.

- 414 [25] Wright MN, Ziegler A. ranger: a fast implementation of random forests for high dimensional
415 data in C++ and R. *J Stat Softw* 2017; 77(1): 1–17. doi: [10.18637/jss.v077.i01](https://doi.org/10.18637/jss.v077.i01)
- 416 [26] Constantine-Cooke N. datefixR: fix really messy dates in R. [https://CRAN.R-](https://CRAN.R-project.org/package=datefixR)
417 [project.org/package= datefixR](https://CRAN.R-project.org/package=datefixR); 2022. R package version 0.1.4
- 418 [27] Wickham H, Averick M, Bryan J, et al. Welcome to the tidyverse. *J Open Source Softw*
419 2019; 4(43): 1686. doi: [10.21105/joss.01686](https://doi.org/10.21105/joss.01686)
- 420 [28] Kuhn M, Wickham H. Tidymodels: a collection of packages for modeling and machine
421 learning using tidyverse principles. <https://CRAN.R-project.org/package=tidymodels>; .
- 422 [29] Greenwell BM, Boehmke BC. Variable importance plots—An introduction to the vip
423 package. *The R Journal* 2020; 12(1): 343–366. doi: [10.32614/RJ-2020-013](https://doi.org/10.32614/RJ-2020-013)
- 424 [30] Brunson JC. ggalluvial: layered grammar for alluvial plots. *J Open Source Softw* 2020;
425 5(49): 2017. doi: [10.21105/joss.02017](https://doi.org/10.21105/joss.02017)
- 426 [31] Chapuis N, Ibrahimi N, Belmondo T, et al. Dynamics of circulating calprotectin accurately
427 predict the outcome of moderate COVID-19 patients. *EBioMedicine* 2022; 80. doi:
428 [10.1016/j.ebiom.2022.104077](https://doi.org/10.1016/j.ebiom.2022.104077)
- 429 [32] Vistisen D, Andersen GS, Hulman A, Persson F, Rossing P, Jørgensen ME. Progressive
430 decline in estimated glomerular filtration rate in patients with diabetes after moderate loss in
431 kidney function—Even without albuminuria. *Diabetes Care* 2019; 42(10): 1886–1894. doi:
432 [10.2337/dc19-0349](https://doi.org/10.2337/dc19-0349)
- 433 [33] Kennedy NA, Heap GA, Green HD, et al. Predictors of anti-TNF treatment failure in anti-
434 TNF-naive patients with active luminal Crohn's disease: A prospective, multicentre, cohort
435 study. *Lancet Gastroenterol Hepatol* 2019; 4(5): 341–353. doi: [10.1016/s2468-](https://doi.org/10.1016/s2468-1253(19)30012-3)
436 [1253\(19\)30012-3](https://doi.org/10.1016/s2468-1253(19)30012-3)

- 437 [34] Lawrance IC, Murray K, Batman B, et al. Crohn's disease and smoking: Is it ever too late to
438 quit?. *J Crohns Colitis* 2013; 7(12): e665–e671. doi: [10.1016/j.crohns.2013.05.007](https://doi.org/10.1016/j.crohns.2013.05.007)
- 439 [35] Jusué V, Chaparro M, Gisbert JP. Accuracy of fecal calprotectin for the prediction of
440 endoscopic activity in patients with inflammatory bowel disease. *Dig Liver Dis* 2018; 50(4):
441 353–359. doi: [10.1016/j.dld.2017.12.022](https://doi.org/10.1016/j.dld.2017.12.022)
- 442 [36] Narula N, Wong EC, Dulai PS, Marshall JK, Jairath V, Reinisch W. Comparative
443 effectiveness of biologics for endoscopic healing of the ileum and colon in Crohn's disease.
444 *Am J Gastroenterol* 2022; Publish Ahead of Print. doi: [10.14309/ajg.0000000000001795](https://doi.org/10.14309/ajg.0000000000001795)
- 445 [37] Vaerenbergh YV, Thomas TD. Response styles in survey research: A literature review of
446 antecedents, consequences, and remedies. *Int J Public Opin Res* 2012; 25(2): 195–217. doi:
447 [10.1093/ijpor/eds021](https://doi.org/10.1093/ijpor/eds021)
- 448 [38] Koutroumpakis E, Katsanos K. Implementation of the simple endoscopic activity score in
449 Crohn's disease. *Saudi J Gastroenterol* 2016; 22(3): 183. doi: [10.4103/1319-3767.182455](https://doi.org/10.4103/1319-3767.182455)
- 450 [39] Nogueira AR, Pugnana A, Ruggieri S, Pedreschi D, Gama J. Methods and tools for causal
451 discovery and causal inference. *Wiley Interdiscip Rev Data Min Knowl Discov* 2022; 12(2).
452 doi: [10.1002/widm.1449](https://doi.org/10.1002/widm.1449)
- 453 [40] Hammerton G, Munafò MR. Causal inference with observational data: the need for
454 triangulation of evidence. *Psychol Med* 2021; 51(4): 563–578. doi:
455 [10.1017/s0033291720005127](https://doi.org/10.1017/s0033291720005127)

456 **8 Tables**

457

458

459

460

	Clusters					<i>p</i>	<i>padj</i>
	Population	Cluster 1	Cluster 2	Cluster 3	Cluster 4		
Sex						0.157	1
Male	183 (51%)	43 (47%)	107 (56%)	24 (41%)	9 (60%)		
Female	173 (49%)	49 (53%)	84 (44%)	34 (59%)	6 (40%)		
Age at diagnosis						0.851	1
First quartile (<i>q</i> ₁)	16.0	20.4	15.3	15.1	14.5		
Median (<i>q</i> ₂)	27.3	29.6	26.4	26.8	21.7		
Third quartile (<i>q</i> ₃)	48.7	45.3	49.9	50.9	51.2		
Smoking status						0.015*	0.116
Smoker	70 (20%)	22 (24%)	43 (23%)	4 (7%)	1 (7%)		
Non-smoker	286 (80%)	70 (76%)	148 (77%)	54 (93%)	14 (93%)		
Diagnostic FCAL ($\mu\text{g/g}$)						0.131	1
First quartile (<i>q</i> ₁)	590	500	610	630	592		
Median (<i>q</i> ₂)	820	725	900	825	660		
Third quartile (<i>q</i> ₃)	1140	986	1270	1180	1160		
Montreal behavior						0.494	1
Inflammatory (B1)	323 (91%)	80 (87%)	175 (92%)	55 (95%)	13 (87%)		
Stricturing (B2)	30 (8%)	10 (11%)	15 (8%)	3 (5%)	2 (13%)		
Penetrating (B3)	3 (1%)	2 (2%)	1 (1%)	0 (0%)	0 (0%)		
Perianal disease (P)†						0.776	1
Present	57 (16%)	17 (18%)	28 (15%)	9 (16%)	3 (20%)		
Not present	299 (84%)	75 (82%)	163 (85%)	49 (84%)	12 (80%)		
Montreal location						0.125	1
Ileal (L1)	95 (27%)	22 (24%)	58 (30%)	9 (16%)	6 (40%)		
Colonic (L2)	140 (39%)	39 (42%)	68 (36%)	30 (52%)	3 (20%)		
Ileocolonic (L3)	121 (34%)	31 (34%)	65 (34%)	19 (33%)	6 (40%)		
Upper gastrointestinal (L4)‡						< 0.001***	0.002**
Present	84 (24%)	8 (9%)	51 (27%)	20 (34%)	5 (33%)		
Not present	272 (76%)	84 (91%)	140 (73%)	38 (66%)	10 (67%)		
5-ASAs (aminosalicylates)						0.326	1
Yes	76 (21%)	15 (16%)	48 (25%)	11 (19%)	2 (13%)		
No	280 (79%)	77 (84%)	143 (75%)	47 (81%)	13 (87%)		
Thiopurine						0.023*	0.161

Yes	250 (70%)	62 (67%)	127 (66%)	50 (86%)	11 (73%)		
No	106 (30%)	30 (33%)	64 (34%)	8 (14%)	4 (27%)		
Corticosteroids						0.983	1
Yes	298 (84%)	78 (85%)	159 (83%)	48 (83%)	13 (87%)		
No	58 (16%)	14 (15%)	32 (17%)	10 (17%)	2 (13%)		
Methotrexate						0.139	0.975
Yes	15 (4%)	8 (9%)	6 (3%)	1 (2%)	0 (0%)		
No	341 (96%)	84 (91%)	185 (97%)	57 (98%)	15 (100%)		
Exclusive enteral nutrition						0.779	1
Yes	80 (22%)	22 (24%)	39 (20%)	14 (24%)	5 (33%)		
No	213 (60%)	54 (59%)	115 (60%)	35 (60%)	9 (60%)		
Not known	63 (18%)	16 (17%)	37 (19%)	9 (16%)	1 (7%)		
Biologic within 3 months						< 0.001 ***	0.004 **
Yes	44 (12%)	23 (25%)	14 (7%)	5 (9%)	2 (13%)		
No	312 (88%)	69 (75%)	177 (93%)	53 (91%)	13 (87%)		
Biologic						< 0.001 ***	< 0.001 ***
Yes	94 (26%)	42 (46%)	35 (18%)	12 (21%)	5 (33%)		
No	262 (74%)	50 (54%)	156 (82%)	46 (79%)	10 (67%)		

461 Table 1: Cohort characteristics and treatments prescribed to the cohort. All prescriptions were
462 prescribed within one year of diagnosis unless otherwise stated. Percentages when stratified
463 across clusters are out of the total number of subjects in the cluster. Biologic is defined as either
464 infliximab, adalimumab, ustekinumab or vedolizumab prescription. † Perianal disease may be
465 present concomitantly to B1, B2 or B3 disease behavior or separately. ‡ Upper gastrointestinal
466 inflammation may be present in addition to ileal, colonic, or ileocolonic inflammation. *p*
467 unadjusted p-value. *p*_{adj} p-value after Bonferroni correction. * Significant at a 5% significance
468 level. ** Significant at a 1% significance level. *** Significant at a 0.1% significance level.